Notice of Allowability	Application No.	Applicant(s)
	09/868,797	WEISS, MATTHIAS
	Examiner	Art Unit
	Kevin P. Rizzuto	2183
The MAILING DATE of this communication appeal All ciaims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGOT the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this ap or other appropriate communication GHTS. This application is subject t	plication. If not included n will be mailed in due course. THIS
1. This communication is responsive to <u>amendment filed 2/8/0</u>	<u>06</u> .	,
2. ☑ The allowed claim(s) is/are <u>11-19</u> .		•
 Acknowledgment is made of a claim for foreign priority unally a) All b) Some* c) None of the: Certified copies of the priority documents have Certified copies of the priority documents have Copies of the certified copies of the priority documents have Mone of the:	been received. been received in Application No cuments have been received in this	national stage application from the
noted below. Failure to timely comply will result in ABANDONMI THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be submit	ENT of this application.	
INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS (as "replacement sheets") must	t be submitted.	•
(a) including changes required by the Notice of Draftsperso	on's Patent Drawing Review (PTO	-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or in the C	Office action of
Identifying indicia such as the application number (see 37 CFR 1.8 each sheet. Replacement sheet(s) should be labeled as such in the		
 DEPOSIT OF and/or INFORMATION about the depose attached Examiner's comment regarding REQUIREMENT F 		
Attachment(s) 1. Notice of References Cited (PTO-892)	5. Notice of Informal F	Patent Application (PTO-152)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary	
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08	Paper No./Mail Da	te
Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit	8. Examiner's Stateme	ent of Reasons for Allowance
of Biological Material	9. 🔲 Other	
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Detailed Action

1. Claims 11-19 are allowed.

Examiner's Amendment

- 2. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.
- 3. Authorization for this examiner's amendment was given in a telephone interview with Manu Tejwani (Reg. No. 37,952) on 4/10/06 and 4/13/06.

The application has been amended as follows:

Claim Amendments

- 4. Claim 11, lines 12-13, please REPLACE the limitation "a primary instruction word (5) from a first reference group of preceding primary instruction words" with the limitation a primary instruction word (5) from a first reference group (11) of preceding primary instruction words —.
- 5. Claim 11, line 20, please REPLACE the limitation "instruction words (9) corresponding in number to the first group (11)" with the limitation instruction words (9) corresponding in number to the first reference group (11) –.
- 6. Claim 11, lines 23-25, please INSERT a comma after "word (7)" by REPLACING the limitation "wherein using the first characteristic (10) contained in the program word

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- (7) a particular secondary instruction word (9)" with the limitation wherein using the first characteristic (10) contained in the program word (7), a particular secondary instruction word (9)—.
- 7. Claim 11, line 28-32, please REPLACE the limitation "wherein the particular secondary instruction word (9) corresponding to the program word (7) is generated so that the instruction word parts (6) contained in the program word (7) are exchanged in the particular secondary instruction word (9) from the second group (12); and" with the limitation –generating a specific secondary instruction word (9) corresponding to the program word (7) so that the instruction word parts (6) contained in the program word (7) are exchanged in the particular secondary instruction word (9) from the second group (12); and—.
- 8. Claim 11, line 33, please REPLACE the limitation "obtaining a store of" with the limitation –storing–.
- 9. Claim 11, line 35, please REPLACE the limitation "the configuration step consists forming" with the limitation –the configuration step consists of forming—.
- 10. Claim 11, line 38, please REPLACE the limitation "dynamic updating of the stored reference group of complete Very Long Instruction Words" with the limitation dynamic updating of the stored second group of secondary instruction words, and wherein the generated specific secondary instruction (9) is executed by the functional units--.
- 11. Claim 12, line 2, please REPLACE the limitation "the first group (11)" with the limitation –the first reference group (11)--.

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12. Claim 17, lines 1-3, please DELETE the limitation "wherein the program word (7) comprises a number of instruction word parts (6) that occur frequently in the configuration step, and".

- 13. Claim 18, lines 3-4, please DELETE the limitation "most frequently occurring".
- 14. Please CANCEL claim 20.

Reasons for Allowance

- 15. The following is an examiner's statement of reasons for allowance:
 - a. Independent Claim 11 requires the limitations, inter alia, "wherein using the first characteristic (10) contained in the program word (7), a particular secondary instruction word (9) from the second group (12) is associated with the primary instruction word (5) via the associated second characteristic (13)", "generating a specific secondary instruction word (9) corresponding to the program word (7) so that the instruction word parts (6) contained in the program word (7) are exchanged in the particular secondary instruction word (9) from the second group (12)", and "the subsequent execution step comprises dynamic updating of the stored second group of secondary instruction words." The prior art of record fails to teach or suggest individually or in combination these limitations, specifically that there is a *group* of secondary instructions and that characteristics are encoded and used for associating program words with a particular secondary instruction word from the group of secondary instruction words and that the secondary instruction words are dynamically updated. The

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prior art of record, including Applicant's admitted prior art (e.g., Weiss et al.) teaches using only a single instruction, instead of a group of instructions, to carry out the decompressing of instructions. Furthermore, Applicant's admitted prior art failed to teach using any characteristics to associate an instruction to be decoded (program word) with a previously decoded instruction (a particular secondary instruction word) and dynamically updating the stored group of previously decoded instructions (second group of secondary instruction words).

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- b. Furthermore, Applicant's arguments filed on 2/8/06, regarding the prior art of record (Smith and Applicant's admitted prior art) and found on pages 10-11 of the Remarks section of the amendment, are found persuasive. In particular, Applicant's comments on the use of characteristics required by the claims and the distinction between sliding dictionary of Smith and the claimed invention are found persuasive.
- c. Lastly, the "wherein" clauses of claim 11 have been given patentable weight since they further limit what the "configuration step" and "subsequent execution step" comprise and are material to patentability. See MPEP 2111.04 [R-3] below:

Claim scope is not limited by claim language that suggests or makes optional but does not require steps to be performed, or by claim language that does not limit a claim to a particular structure. However, examples of claim language, although not exhaustive, that may raise a question as to the limiting effect of the language in a claim are:

- (A) "adapted to" or "adapted for" clauses;
- (B) "wherein" clauses; and
- (C) "whereby" clauses.

The determination of whether each of these clauses is a limitation in a claim depends on the specific facts of the case. In Hoffer v. Microsoft Corp., 405 F.3d 1326, 1329, 74 USPQ2d

1481, 1483 (Fed. Cir. 2005), the court held that when a "whereby' clause states a condition that is material to patentability, it cannot be ignored in order to change the substance of the invention."

16. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

17. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin P Rizzuto whose telephone number is (571) 272-4174. The examiner can normally be reached on M-F, 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eddie Chan can be reached on (571) 272-4162. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

KPR

SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100